



<https://fintechnews.ch/job/senior-compliance-officer-at-crypto-finance-group/>

Senior Compliance Officer

Description

As part of the Compliance Team of the Crypto Finance (Brokerage) AG, part of the Crypto Finance Group, you will report to the Head of Compliance and receive the opportunity to gain experience in various aspects of compliance governance and compliance operations. You will also obtain an overview of crypto compliance requirements, making this a great opportunity to enhance your compliance skills in the dynamic digital assets industry, and build the foundation for your career.

Responsibilities

- Broad application of diverse compliance topics within the financial services industry, especially with regards to banks and with the advantage of being a licensed crypto brokerage
- Ensuring compliance with AML provisions and due diligence requirements as defined by the Swiss AML Act, FINMA AML
- Ordinance and Code of Conduct (VSB), in particular as they relate to a crypto brokerage
- Staying current with all relevant laws and regulations, e.g. FINSA/FINSO; FinIA; revised DPA
- Identifying compliance risks and developing mitigating measures
- Taking on the responsibility of the compliance framework with a risk-based approach
- Development and execution of risk assessments with regard to AML and Cross border risks
- Overseeing the onboarding of clients, incl. KYC documentation and the monitoring of transactions with regards to regulatory requirements
- Contact person for active and constructive exchange with Business Development
- Leading new projects in the compliance department of the brokerage
- Designing and conducting training sessions for employees
- Drawing up directives (policies) and work procedures; conducting compliance risk assessments and preparing annual compliance reports and professional comments (memoranda)
- Conducting negotiations with representatives within the Group or Group companies and with stakeholders

Qualifications

- A motivated individual with a university law or economy Master degree
- Experience in banking, financial services (eg. Asset management or Big Four)
- Experience in financial crime compliance (AML/CTF) and onboarding (AML, AMLO-FINMA, CDB); AMLA Risk Assessment; Client Risk Categorization and Sanctions Screening work; Cross Border Business (do's & don'ts); Develop and perform of Compliance trainee programs; Data Protection (FADP/GDPR); Project work; Prepare annual reports for compliance; memoranda, etc.
- Experience in dealing with trading floor / front office (clear and level-

Hiring organization

Crypto Finance Group

Crypto Finance AG – a FINMA regulated financial institution and part of Deutsche Börse Group, offers professional digital asset solutions. This includes one of the first FINMA-approved investment firms with 24/7 brokerage services, custody, infrastructure, and tokenisation solutions for financial institutions, as well as the first FINMA-approved manager of collective assets for crypto assets, with an active, rules-based and index-based alternative investment fund offering, including the first Swiss crypto fund. With a team of over 90 employees, Crypto Finance is headquartered in Switzerland, and has a regional presence in Singapore. Since its founding in 2017, company has received numerous awards, including Crypto Valley Top 50 Blockchain Company, Top 100 Swiss Start-up, and winner of the Swiss FinTech Award 2019.

Crypto Finance Group is a fast-growing, exciting place to work with tremendous opportunities for personal development and professional advancement.

appropriate communication with assertiveness)

- Persuasive, convincing, well argued fluently in English and German; additional language is an advantage
- Knowledge and practical experience in Crypto business, DLT and about the legal/regulatory framework is an advantage
- Willingness to see this as a development opportunity with perspectives
- Independent, flexible, engaged, focused, reliable, and detailed oriented
- A passion for blockchain technology, digital assets, and cryptocurrencies

Employment Type

Full-time

Job Location

Zurich, Switzerland

Date posted

August 10, 2022

APPLY