

<https://fintechnews.ch/job/senior-compliance-officer-at-sygnum-bank/>

## Senior Compliance Officer

### Responsibilities

- Support the team and client facing / handling staff in compliance & regulatory matters regarding daily business and strategic initiatives.
- Advising and supporting employees, stakeholders and governing bodies in ethics and compliance matters.
- Monitor and ensure compliance with applicable laws and (internal) regulations, including adoption of internal compliance frameworks and implementation of corresponding controls
- Drive compliance & regulatory projects regarding strategic developments, including implementation of processes related to the legal & compliance department of a financial institution.
- Educate and train employees on laws and regulations as well as industry practices and ensure corresponding know-how transfer.
- Assess and approve client documentation in the client onboarding process and ongoing monitoring, consistent with applicable KYC and AML regulations and guidelines.
- Management of junior compliance specialists and support them in their development.

### Qualifications

- Degree in law, finance/economics, business management, or a related field
- Experience (5+ years) in Banking as in-house Compliance Officer in a Swiss bank or an Audit/Consulting firm providing services to Swiss-based regulated financial intermediaries
- Profound experience in aspects associated to compliance-centric matters including, amongst others, conflict management, regulatory reporting, new product approvals and compliance advisory.
- Functional knowledge in relevant areas of law and regulations, i.e. regarding Swiss FinSA, Conflicts of Interest and Market Conduct, Marketing, client onboarding, AML/CFT, ABC, sanctions and regulatory matters
- Problem-solving ability and mental flexibility to find pragmatic solutions supporting the Business with innovative products and business concepts
- Goal and result-oriented, able to work with minimal direction and provide hands-on and practicable solutions
- Knowledge of the latest trends in compliance, the FinTech and RegTech industry as well as cryptocurrencies and blockchain
- Core understanding of FATCA and CRS requirements

### Hiring organization

Sygnum Bank

Sygnum Bank is the world's first regulated Digital Asset Bank and a digital asset specialist with global reach. With Sygnum Bank AG's Swiss banking licence, as well as Sygnum Pte Ltd's capital markets services (CMS) license in Singapore, Sygnum empowers institutional and private qualified investors, corporates, banks and other financial institutions to invest in the digital asset economy with complete trust.

Sygnum Bank's clients can use their deposited CHF, USD, EUR and SGD to invest in leading digital assets, including protocol tokens such as Bitcoin, Ethereum, XRP, Bitcoin Cash and Tezos, a Digital CHF for instant settlement, and asset tokens. They have the peace of mind that these investments are held with bank-grade security, convenience, and trust.

**Employment Type**

Full-time

**Job Location**

Zurich, Switzerland

**Date posted**

September 8, 2022

[Apply](#)